

2023 SEC Filing Deadline Calendar

SEC deadlines for companies with December 31, 2022 fiscal year-end¹

JANUARY

Su	Mo	Tu	We	Th	Fr	Sa
	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

APRIL

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

JULY

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

OCTOBER

Su	Mo	Tu	We	Th	Fr	Sa
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

FEBRUARY

Su	Mo	Tu	We	Th	Fr	Sa
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28			

MAY

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6		
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

AUGUST

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5			
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

NOVEMBER

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

MARCH

Su	Mo	Tu	We	Th	Fr	Sa
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

JUNE

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

SEPTEMBER

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

DECEMBER

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

- Large Accelerated Filers
- Accelerated Filers
- ◆ Non-Accelerated Filers
- ◀ Definitive Proxy Statement
- ▶ Foreign Private Issuers
- ◼ SEC Holidays

1. Deadlines may be calculated for companies with non-calendar-year fiscal year-ends using the applicable number of days after year-end. When the deadline falls on a weekend or holiday, the deadline is extended to the next business day pursuant to Rule 0-3(a) under the Securities Exchange Act of 1934, as amended.

BENEFICIAL OWNERSHIP FILING DEADLINES

Form 3	Within 10 days of becoming an officer, director, or beneficial owner of more than 10% of a class of equity securities registered under the Exchange Act; if the issuer is registering equity for the first time, then by the effective date of the applicable registration statement
Form 4	2 business days after the transaction date
Form 5	45 days after fiscal year-end (February 14)
Schedule 13G	45 days after calendar year-end (February 14)
Schedule 13D	10 days after acquiring more than 5% beneficial ownership; amendments are due promptly after material changes

OTHER REPORTING DEADLINES

Form 8-K	Typically 4 business days after occurrence of a triggering event
Form SD	Generally due no later than May 31 of each year (due May 31, 2023)
Form 13F	45 days after calendar year-end and after each of the first three quarter-ends (February 14, May 15, August 14, and November 14, 2023)
Form 11-K	90 days after plan's fiscal year-end (March 31, 2023); plans subject to ERISA may file the plan statements within 180 calendar days after plan's fiscal year-end (June 29, 2023)

FORM 10-K DEADLINES*

Large Accelerated Filers

March 1

60 days after fiscal year-end

Accelerated Filers

March 16

75 days after fiscal year-end

Non-Accelerated Filers

March 31

90 days after fiscal year-end

* 15-day extension available with a timely Form 12b-25 filing

FORM 20-F DEADLINE[†]

Foreign Private Issuers

May 1

4 months after fiscal year-end

† 15-day extension available with a timely Form 12b-25 filing

FORM 40-F DEADLINE

Canadian Foreign Private Issuers qualifying for the Multi-Jurisdictional Disclosure System

Same day annual report is due to be filed in Canada

FORM 10-Q DEADLINES**

Large Accelerated Filers and Accelerated Filers

May 10, August 9, and November 9

40 days after each quarter-end

Non-Accelerated Filers

May 15, August 14, and November 14

45 days after each quarter-end

** 5-day extension available with a timely Form 12b-25 filing

DEFINITIVE PROXY STATEMENT (DEF 14A)

or information statement if Part III of Form 10-K incorporates information from proxy by reference

May 1

120 days after fiscal year-end

EDGAR filings may be made between 6:00 a.m. and 10:00 p.m. ET on weekdays (excluding U.S. federal holidays). Filings submitted after 5:30 p.m. ET receive the next business day's filing date (except Section 16 filings and Rule 462(b) registration statements, which receive the actual filing date).

NYSE and Nasdaq trading hours generally are 9:30 a.m. to 4:00 p.m. ET. NYSE and Nasdaq each have rules requiring listed companies to provide them with advance notice of disclosures of material information (NYSE Listed Company Manual Section 202.06; NYSE American Company Guide, Part 4; Nasdaq Marketplace Rule 5250).