

2024 SEC Filing Deadline Calendar

SEC deadlines for companies with December 31, 2023 fiscal year-end¹

As of November 27, 2023

JANUARY

Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

FEBRUARY

Su	Mo	Tu	We	Th	Fr	Sa
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	

MARCH

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

APRIL

Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

MAY

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

JUNE

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

JULY

Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

AUGUST

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

SEPTEMBER

Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

OCTOBER

Su	Mo	Tu	We	Th	Fr	Sa
		1	2	3	4	5
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30	31		

NOVEMBER

Su	Mo	Tu	We	Th	Fr	Sa
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

DECEMBER

Su	Mo	Tu	We	Th	Fr	Sa
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Large Accelerated Filers
- Accelerated Filers
- ▲ Non-Accelerated Filers
- ◀ Definitive Proxy Statement
- ▶ Foreign Private Issuers
- ◼ SEC Holidays

- Deadlines may be calculated for companies with non-calendar-year fiscal year-ends using the applicable number of days after year-end.
- When the deadline falls on a weekend or holiday, the deadline is extended to the next business day pursuant to Rule 0-3(a) under the Securities Exchange Act of 1934, as amended.

BENEFICIAL OWNERSHIP FILING DEADLINES

Form 3	Within 10 days of becoming an officer, director, or beneficial owner of more than 10% of a class of equity securities registered under the Exchange Act; if the issuer is registering equity for the first time, then by the effective date of the applicable registration statement
Form 4	2 business days after the transaction date
Form 5	45 days after fiscal year-end (February 14)
Schedule 13G	Effective September 30, 2024, 45 days after calendar quarter (currently, calendar year) in which acquisition of more than 5% beneficial ownership or material change occurs
Schedule 13D	Effective February 5, 2024, 5 business days (currently, 10 calendar days) after acquiring more than 5% beneficial ownership; amendments are due 2 business days (currently, promptly) after material changes

OTHER REPORTING DEADLINES

Form 8-K	Typically 4 business days after occurrence of a triggering event
Form SD	Generally due no later than May 31 of each year (due May 31, 2024)
Form 13F	45 days after calendar year-end and after each of the first three quarter-ends (February 14, May 15, August 14, and November 14, 2024)
Form 11-K	90 days after plan's fiscal year-end (April 1, 2024 ²); plans subject to ERISA may file the plan statements within 180 calendar days after plan's fiscal year-end (June 28, 2024)

FORM 10-K DEADLINES*

Large Accelerated Filers

February 29

60 days after fiscal year-end

Accelerated Filers

March 15

75 days after fiscal year-end

Non-Accelerated Filers

April 1²

90 days after fiscal year-end

* 15-day extension available with a timely Form 12b-25 filing

FORM 20-F DEADLINE[†]

Foreign Private Issuers

April 30

4 months after fiscal year-end

[†] 15-day extension available with a timely Form 12b-25 filing

FORM 40-F DEADLINE

Canadian Foreign Private Issuers qualifying for the Multi-Jurisdictional Disclosure System

Same day annual report is due to be filed in Canada

FORM 10-Q DEADLINES**

Large Accelerated Filers and Accelerated Filers

May 10, August 9, and November 12²

40 days after each quarter-end

Non-Accelerated Filers

May 15, August 14, and November 14

45 days after each quarter-end

** 5-day extension available with a timely Form 12b-25 filing

DEFINITIVE PROXY STATEMENT (DEF 14A)

or information statement if Part III of Form 10-K incorporates information from proxy by reference

April 29

120 days after fiscal year-end

EDGAR filings may be made between 6:00 a.m. and 10:00 p.m. ET on weekdays (excluding U.S. federal holidays). Filings submitted after 5:30 p.m. ET receive the next business day's filing date (except Section 16 filings, Schedule 13D and 13G filings, and Rule 462(b) registration statements, which receive the actual filing date).

NYSE and Nasdaq trading hours generally are 9:30 a.m. to 4:00 p.m. ET. NYSE and Nasdaq each have rules requiring listed companies to provide them with advance notice of disclosures of material information (NYSE Listed Company Manual Section 202.06; NYSE American Company Guide, Part 4; Nasdaq Marketplace Rule 5250).